Package 'xega'

July 21, 2025

Title Extended Evolutionary and Genetic Algorithms

Version 0.9.0.8

Description Implementation of a scalable, highly configurable, and e(x)tended architecture for (e)volutionary and (g)enetic (a)lgorithms. Multiple representations (binary, real-coded, permutation, and derivation-tree), a rich collection of genetic operators, as well as an extended processing pipeline are provided for genetic algorithms (Goldberg, D. E. (1989, ISBN:0-201-15767-5)), differential evolution (Price, Kenneth V., Storn, Rainer M. and Lampinen, Jouni A. (2005) <doi:10.1007/3-540-31306-0>), simulated annealing (Aarts, E., and Korst, J. (1989, ISBN:0-471-92146-7)), grammar-based genetic programming (Geyer-Schulz (1997, ISBN:978-3-7908-0830-X)), and grammatical evolution (Ryan, C., O'Neill, M., and Collins, J. J. (2018) <doi:10.1007/978-3-319-78717-6>). All algorithms reuse basic adaptive mechanisms for performance optimization. Sequential or parallel execution (on multi-core machines, local clusters, and high-performance computing environments) is available for all algorithms. See <https://github.com/ageyerschulz/xega/tree/main/examples/executionModel>.

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URL https://github.com/ageyerschulz/xega

Encoding UTF-8

RoxygenNote 7.3.2

Depends R (>= 3.5.0), parallelly, filelock

Imports xegaSelectGene, xegaBNF, xegaDerivationTrees, xegaGaGene, xegaGpGene, xegaGeGene, xegaDfGene, xegaPermGene, xegaPopulation

Suggests testthat (>= 3.0.0)

NeedsCompilation no

Author Andreas Geyer-Schulz [aut, cre] (ORCID: https://orcid.org/0009-0000-5237-3579>)

Maintainer Andreas Geyer-Schulz <Andreas.Geyer-Schulz@kit.edu>

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booleanGrammar A constant function with a boolean grammar.

Description

For the distribution of examples of BNF in grammars.

Usage

```
booleanGrammar()
```

Details

Imported from package xegaBNF for use in examples.

Value

A named list with \$filename and \$BNF, the grammar of a boolean grammar with two variables.

See Also

```
Other Grammar: compileBNF()
```

Examples

booleanGrammar()

compileBNF

Description

compileBNF() produces a context-free grammar from its specification in Backus-Naur form (BNF). Warning: No error checking implemented.

Usage

compileBNF(g, verbose = FALSE)

Arguments

g	A character string with a BNF.	
verbose	Boolean. TRUE: Show progress.	Default: FALSE

Details

A grammar consists of the symbol table ST, the production table PT, the start symbol Start, and the short production table SPT. An example BNF is provided by booleanGrammar().

The function performs the following steps:

- 1. Make the symbol table.
- 2. Make the production table.
- 3. Extract the start symbol.
- 4. Compile a short production table.
- 5. Return the grammar.

For a full documentation, see <https://CRAN.R-project.org/package=xegaBNF>

Value

A grammar object (list) with the attributes name (the filename of the grammar), ST (symbol table), PT (production table), Start (the start symbol of the grammar), and SPT (the short production table).

See Also

Other Grammar: booleanGrammar()

Examples

```
g<-compileBNF(booleanGrammar())
g$ST
g$PT
g$Start
g$SPT</pre>
```

createExclusiveFile Create a unique filename.

Description

Name conflicts in filenames are avoided by

- Including the time fractions below a second (tfrac).
- Padding the name with 6 random letters.
- Locking and retrying (as a last resort). The program stops after 10 unsuccessful attempts of finding a unique name.

Created by Jens Kleineheismann (2025).

Usage

```
createExclusiveFile(fpath = ".", prefix = "data", ext = ".dat")
```

Arguments

fpath	File path. Default: ".".
prefix	The filename. Default: "data".
ext	The file extension. Default: ".dat".

Value

A filename. Components: [prefix]_[year][month][day]_[h][min][sec]_[node]_[pid]_[pad]_[fracsec].[ext]

Examples

```
tmp<-tempdir()
fn<-createExclusiveFile(fpath=tmp, prefix="data", ext=".dat")
cat(fn)</pre>
```

lau15

The problem environment lau15

Description

15 abstract cities for which a traveling salesman solution is sought. Solution: A path with a length of 291.

Usage

lau15

NewEnvXOR

Format

An object of class list of length 14.

References

Lau, H. T. (1986): *Combinatorial Heuristic Algorithms in FORTRAN*. Springer, 1986. <doi:10.1007/978-3-642-61649-5>

See Also

Other Problem Environment: NewEnvXOR(), Parabola2D, Parabola2DEarly

Examples

names(lau15)
lau15\$genelength()

```
NewEnvXOR
```

Generate the problem environment EnvXOR

Description

NewEnvXOR() generates the problem environment for the XOR-Problem.

The problem environment provides an abstract interface to the simple genetic programming algorithm. ProblemEnv\$f(parm) defines the function we want to optimize.

A problem environment is a function factory with the following elements:

- 1. name(): A string with the name of the environment.
- 2. ProblemEnv\$f(word): Function with the word a word of the language (as a text string).

Should be provided by the user as a standard R-file.

Usage

NewEnvXOR()

Value

The problem environment:

- \$name: The name of the problem environment.
- \$f: The fitness function. For this environment, fitness is defined as the number of correct test cases (correct function) and the inverse of the number of terminal symbols. The second part means that a boolean function with a fewer number of variables and logical functions is fitter than one with more variables and logical functions if both solve the same number of test cases.

See Also

Other Problem Environment: Parabola2D, Parabola2DEarly, lau15

Examples

```
EnvXOR<-NewEnvXOR()
EnvXOR$name()
a2<-"OR(OR(D1, D2), (AND(NOT(D1), NOT(D2))))"
a3<-"OR(OR(D1, D2), AND(D1, D2))"
a4<-"AND(OR(D1,D2),NOT(AND(D1,D2)))"
gp4<-"(AND(AND(OR(D2,D1),NOT(AND(D1,D2))),(OR(D2,D1))))"
EnvXOR$f(a2)
EnvXOR$f(a3)
EnvXOR$f(a4)
EnvXOR$f(gp4)</pre>
```

```
Parabola2D
```

Problem environment for a 2-dimensional quadratic parabola

Description

Problem environment for finding maxima and minima of a 2-dimensional quadratic parabola.

Usage

Parabola2D

Format

An object of class list of length 8.

Value

A named list

- \$name(): Returns the name of the problem environment.
- \$bitlength(): The vector of the bitlengths of the parameters.
- \$genelength(): The number of bits of a gene.
- \$1b(): The vector of lower bounds of the parameters.
- \$ub(): The vector of upper bounds of the parameters.
- \$f(parm): The implementation of the function of the quadratic parabola.
 - parm: A 2-element vector of reals.
 - Returns the value of the function.
- \$describe(): Returns the description of the problem environment.
- \$solution(): The solutions (maxima/minima) of the problem environment (if known).

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Parabola2DEarly

See Also

Other Problem Environment: NewEnvXOR(), Parabola2DEarly, lau15

Examples

```
names(Parabola2D)
Parabola2D$name()
Parabola2D$describe()
Parabola2D$bitlength()
Parabola2D$bitlength()
Parabola2D$lb()
Parabola2D$lb()
Parabola2D$f
Parabola2D$f(c(2.2, -1.37))
Parabola2D$solution()
Parabola2D$solution()$minimum
Parabola2D$solution()$minpoints
Parabola2D$solution()$maximum
Parabola2D
```

Parabola2DEarly Problem environment for a 2-dimensional quadratic parabola.

Description

An example of a problem environment with an early termination condition.

Usage

```
Parabola2DEarly
```

Format

An object of class list of length 9.

Value

A problem environment (see Parabola2D). Parabola2DEarly\$terminate(solution, 1F) is a test function which returns true if the solution is in an epsilon environment of a known solution. To invoke this function, use xegaRun(..., early=TRUE, ...). The epsilon which determines the length of the interval as a fraction of the known optimal solution is configured by e.g. xegaRun(..., terminationEps=0.001, ...).

See Also

Other Problem Environment: NewEnvXOR(), Parabola2D, lau15

sgXCrossoverFactory Factory for configuring a gene-dependent Crossover function.

Description

sgXCrossoverFactory() selects

- 1. the algorithm-specific crossover factory and
- 2. the method in this factory.

Usage

```
sgXCrossoverFactory(algorithm = "sga", method = "CrossGene")
```

Arguments

algorithm	Specifies algorithm. Available: "sga", "sgde", "sgperm", "sge", sgp". Default: "sga".
method	Crossover method. Algorithm (gene representation) dependent. Default: CrossGene().
	Must be available in the gene-specific crossover factories.

Details

The available methods for each algorithm are:

- "sga": "Cross2Gene", "UCross2Gene", "UPCross2Gene", "CrossGene", "UCrossGene", "UP-CrossGene".
- "sge": "Cross2Gene", "UCross2Gene", "UPCross2Gene", "CrossGene", "UCrossGene", "UP-CrossGene".
- "sgede": "CrossGene", "UCrossGene", "UPCrossGene".
- "sgp": "CrossGene", "Cross2Gene", "AllCrossGene", "AllCross2Gene", "FilterCrossGene", "FilterCross2Gene".
- "sgde": "CrossGene", "UCrossGene", "UPCrossGene".
- "sgperm": "CrossGene", "Cross2Gene".

Value

Crossover function from the crossover factory of the selected package.

See Also

Other Configuration: sgXDecodeGeneFactory(), sgXGeneMapFactory(), sgXInitGeneFactory(), sgXMutationFactory(), sgXReplicationFactory()

Examples

sgXCrossoverFactory(algorithm="sga", method="CrossGene")

sgXDecodeGeneFactory Factory for configuring a gene-dependent DecodeGene function.

Description

A gene-specific decoder must be provided.

Usage

```
sgXDecodeGeneFactory(algorithm = "sga", method = "DecodeGene")
```

Arguments

algorithm	"sga", "sgde", "sgperm", "sge", "sgede", "sgp". Default: "sga",
method	Method. Default: "DecodeGene".

Value

Decode function for the selected algorithm from the correct package.

See Also

```
Other Configuration: sgXCrossoverFactory(), sgXGeneMapFactory(), sgXInitGeneFactory(),
sgXMutationFactory(), sgXReplicationFactory()
```

Examples

sgXDecodeGeneFactory(algorithm="sgperm", method="DecodeGene")

sgXGeneMapFactory Factory for configuring a gene-dependent geneMap function.

Description

The geneMap function depends on the gene representation and the algorithm selected.

Usage

```
sgXGeneMapFactory(algorithm = "sga", method = "Bin2Dec")
```

Arguments

algorithm	Algorithm. Available: "sga", "sgde", "sgperm", "sge", sgp". Default: "sga".
method	The GeneMap method. The choices depend on the algorithm.

Methods available for the different algorithms are:

- "sga": "Bin2Dec", "Gray2Dec", "Identity", "Permutation".
- "sgde": "Identity".
- "sgperm": "Identity". The gene map function is not used in the decoder.
- "sgp": "Identity". The gene map function is not used in the decoder.
- "sge": "Mod" or "Bucket".
- "sgede": "Identity".

Value

GeneMap function for the selected algorithm from the correct package.

See Also

```
Other Configuration: sgXCrossoverFactory(), sgXDecodeGeneFactory(), sgXInitGeneFactory(),
sgXMutationFactory(), sgXReplicationFactory()
```

Examples

sgXGeneMapFactory(algorithm="sga", method="Bin2Dec")

sgXInitGeneFactory Factory for configuring a gene-dependent InitGene function.

Description

Factory for configuring a gene-dependent InitGene function.

Usage

```
sgXInitGeneFactory(algorithm = "sga", method = "InitGene")
```

Arguments

algorithm	Algorithm. Available: "sga", "sgde", "sgperm", "sge", sgp". Default: "sga".
method	Method. Default: "InitGene". For sgp, method = "InitGene" or "InitGeneGe"

Value

InitGene function from the correct package.

sgXMutationFactory

See Also

Other Configuration: sgXCrossoverFactory(), sgXDecodeGeneFactory(), sgXGeneMapFactory(), sgXMutationFactory(), sgXReplicationFactory()

Examples

```
sgXInitGeneFactory(algorithm="sgperm")
```

sgXMutationFactory Factory for configuring a gene-dependent Mutation function.

Description

sgXMutationFactory() selects

- 1. the algorithm-specific mutation factory and
- 2. the method in this factory.

Usage

```
sgXMutationFactory(algorithm = "sga", method = "MutateGene")
```

Arguments

algorithm	Algorithm. Available: "sga", "sgde", "sgperm", "sge", sgp". Default: "sga".
method	Method. Available methods are package-dependent.

Details

The available methods for each factory are:

- "sga": "MutateGene", "IVM".
- "sge": "MutateGene", "IVM".
- "sgp": "MutateGene", "MutateAllGene", "MutateFilterGene".
- "sgede": "MutateGene", "MutateGeneDE".
- "sgde": "MutateGene", "MutateGeneDE".
- "sgperm": "MutateGene", "MutateGeneOrderBased", "MutateGenekInversion", "MutateGene2Opt", "MutateGenekOptLK", "MutateGeneGreedy", "MutateGeneBestGreedy", "MutateGeneMix".

Value

MutateGene function for the selected algorithm from the correct package.

See Also

```
Other Configuration: sgXCrossoverFactory(), sgXDecodeGeneFactory(), sgXGeneMapFactory(),
sgXInitGeneFactory(), sgXReplicationFactory()
```

Examples

```
sgXMutationFactory(algorithm="sga", method="MutateGene")
```

sgXReplicationFactory Factory for configuring a gene-dependent Replication function.

Description

Factory for configuring a gene-dependent Replication function.

Usage

```
sgXReplicationFactory(algorithm = "sga", method = "Kid1")
```

Arguments

algorithm	Algorithm. Available: "sga", "sgde", "sgperm", "sge", "sgede", sgp". Default: "sga".
method	Method. Options are package-dependent:
	 "sga", "sgperm", "sge", sgp": "Kid1", "Kid2". "sgde", "sgede": "DE".

Value

A replication function for the algorithm from the correct package.

See Also

```
Other Configuration: sgXCrossoverFactory(), sgXDecodeGeneFactory(), sgXGeneMapFactory(),
sgXInitGeneFactory(), sgXMutationFactory()
```

Examples

```
sgXReplicationFactory(algorithm="sgp", method="Kid1")
```

Description

The main program of the e(x)tended (e)volutionary and (g)enetic (a)lgorithm (xega) package.

Layers (in top-down direction)

- Top-level main programs (Package xega < https://CRAN.R-project.org/package=xega>): xegaRun(), xegaReRun()
- 2. **Population-level operations independent of representation** (Package xegaPopulation <https://CRAN.R-project.org/package=xegaPopulation>): The population layer consists of functions for initializing, logging, observing, evaluating a population of genes, as well as computing the next population.
- 3. Gene-level operations representation-dependent.
 - (a) Binary representation (Package xegaGaGene <https://CRAN.R-project.org/package=xegaGaGene>): Initialization of random binary genes, several gene maps for binary genes, several mutation operators, several crossover operators with 1 and 2 kids, replication pipelines for 1 and 2 kids, and, last but not least, function factories for configuration.
 - (b) Real-coded genes (Package xegaDfGene < https://CRAN.R-project.org/package=xegaDfGene>).
 - (c) Permutation genes (Package xegaPermGene < https://CRAN.R-project.org/package=xegaPermGene>).
 - (d) Derivation-tree genes (Package xegaGpGene < https://CRAN.project.org/package=xegaGpGene>).
 - (e) Binary genes with a grammar-driven decoder (Package xegaGeGene <https://CRAN.project.org/package=xegaGeGene <https://CRAN.project.org/package=xegaGeGene=xegaGeGene <https://CRAN.project.org/package
- 4. Gene-level operations independent of representation (Package xegaSelectGene < https://CRAN.project.org/package Functions for static and adaptive fitness scaling, gene selection, gene evaluation, as well as measuring performance and configuration.

Early Termination

A problem environment may implement a function terminate(solution) which returns TRUE if the solution meets a condition for early termination.

Parallel and Distributed Execution

Several implementations of a parallel lapply() function are provided. They support the parallel and distributed execution of fitness functions on several combinations of hard- and software architectures. A parallel lapply()-function must have the following abstract interface:

parallelApply(pop, EvalGene, 1F)

where pop is a list of genes, EvalGene the evaluation function for the fitness of a gene, and 1F the local function configuration of the algorithm.

The several implementations of a parallelApply() function are provided. The implementations use

xega

- the function parallel::parLapply() for socket connections on a single or multiple machines on the Internet.
- the function future.apply::future_lapply() for asynchronous parallelization based on future packages.

In addition, user-defined parallel apply functions can be provided. Example scripts for using the Rmpi::mpi.parLapply() function of the Rmpi package are provided for an HPC environment with Slurm as well as on a notebook.

The Architecture of the xegaX-Packages

The xegaX-packages are a family of R-packages which implement e(x)tended (e)volutionary and (g)enetic (a)lgorithms (xega). The architecture has 3 layers, namely the user interface layer, the population layer, and the gene layer:

- The user interface layer (package xega <https://CRAN.R-project.org/package=xega>) provides a function call interface and configuration support for several algorithms: genetic algorithms (sga), permutation-based genetic algorithms (sgPerm), derivation-free algorithms as e.g. differential evolution (sgde), grammar-based genetic programming (sgp), and grammatical evolution (sge).
- The population layer (package xegaPopulation https://CRAN.R-project.org/package=xegaPopulation) contains population-related functionality as well as support for population statistics dependent adaptive mechanisms and for parallelization.
- The gene layer is split into a representation-independent and a representation-dependent part:
 - The representation-independent part (package xegaSelectGene <https://CRAN.R-project.org/package=xegaSelect) is responsible for variants of selection operators, evaluation strategies for genes, as well as profiling and timing capabilities.
 - 2. The representation-dependent part consists of the following packages:
 - xegaGaGene <https://CRAN.R-project.org/package=xegaGaGene> for binary-coded genetic algorithms.
 - xegaPermGene < https://CRAN.R-project.org/package=xegaPermGene> for permutationbased genetic algorithms.
 - xegaDfGene <https://CRAN.R-project.org/package=xegaDfGene> for derivation-free algorithms e.g. differential evolution.
 - xegaGpGene <https://CRAN.R-project.org/package=xegaGpGene> for grammar-based genetic algorithms.
 - xegaGeGene <https://CRAN.R-project.org/package=xegaGaGene> for grammatical evolution algorithms.

The packages xegaDerivationTrees and xegaBNF support the packages xegaGpGene and xegaGeGene:

- xegaBNF <https://CRAN.R-project.org/package=xegaBNF> essentially provides a grammar compiler and
- xegaDerivationTrees https://CRAN.R-project.org/package=xegaDerivationTrees an abstract data type for derivation trees.

xegaReRun

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URL

https://github.com/ageyerschulz/xega

Installation

From CRAN by install.packages('xega')

Author(s)

Andreas Geyer-Schulz

See Also

Useful links:

https://github.com/ageyerschulz/xega

xegaReRun	Run an evolutionary or genetic algorithm with the same configuration
	as in the previous run.

Description

xegaReRun() runs a simple genetic algorithm with the same configuration as in the run specified by the list element \$GAconfig of the solution of a simple genetic algorithm.

Usage

```
xegaReRun(solution)
```

Arguments

solution The solution of a previous run of xegaRun().

Details

xegaReRun() does not capture the configuration for parallel/distributed processing for the execution model "FutureApply", because the user defines the configuration before calling xegaRun().

If executionModel matches neither "Sequential" nor "MultiCore" or !is.null(uParApply)==TRUE, a warning is printed, and the previous solution is returned.

Value

A list of

- 1. \$popStat: A matrix with mean, min, Q1, median, Q3, max, var, mad of population fitness as columns: i-th row for i-th each generation.
- 2. \$fit: Fitness vector if generations<=1 else: NULL.</pre>
- \$solution: With fields \$solution\$name, \$solution\$fitness, \$solution\$value, \$numberOfSolutions, \$solution\$genotype, \$solution\$phenotype, \$solution\$phenotypeValue,
- 4. \$evalFail: Number of failures of fitness evaluations.
- 5. \$GAconfig: The configuration of the GA used by xegaReRun().
- 6. \$GAenv: Attribute value list of GAconfig.
- 7. \$timer: An attribute value list with the time used (in seconds) in the main blocks of the GA: tUsed, tInit, tNext, tEval, tObserve, and tSummary.

See Also

Other Main Program: xegaRun()

Examples

```
a<-xegaRun(Parabola2D, max=FALSE, algorithm="sga", generations=10, popsize=20, verbose=1)
b<-xegaReRun(a)
seqApply<-function(pop, EvalGene, 1F) {lapply(pop, EvalGene, 1F)}
c<-xegaRun(Parabola2D, max=FALSE, algorithm="sga", uParApply=seqApply)
b<-xegaReRun(c)</pre>
```

xegaRun

Run an evolutionary or genetic algorithm for a problem environment which contains a function to optimize.

Description

xegaRun() runs an evolutionary or genetic algorithm whose type is selected by algorithm. Available algorithms are:

- 1. "sga": Genetic algorithm with binary genes.
- 2. "sgde": Differential evolution with real genes.
- 3. "sgperm": Genetic algorithm with permutation genes.
- 4. "sgp": Grammar-based genetic programming with derivation-tree genes.
- 5. "sge": Grammatical evolution (genetic algorithm with binary genes and a grammar-driven decoder.
- 6. "sgede": Grammatical evolution (genetic algorithm with real genes, genetic operators from from differential evolution and a grammar-driven decoder.

The choice of the algorithm determines the gene-dependent configuration options.

Usage

```
xegaRun(
  penv,
  grammar = NULL,
  max = TRUE,
  algorithm = "sga",
  popsize = 100,
  generations = 20,
  crossrate = 0.2,
  mutrate = 1,
  elitist = TRUE,
  replay = 0,
  maxdepth = 7,
  maxtrials = 5,
  codons = 25,
  codonBits = 0,
  codonPrecision = "LCM",
  maxPBias = 0.01,
  evalmethod = "EvalGeneU",
  evalrep = 1,
  reportEvalErrors = TRUE,
  genemap = "Bin2Dec",
  decoder = "DecodeGene",
  crossrate2 = 0.3,
  ivcrossrate = "Const",
  crossover = "Cross2Gene",
  uCrossSwap = 0.2,
  mincrossdepth = 1,
  maxcrossdepth = 7,
  ivmutrate = "Const",
  mutrate2 = 1,
  bitmutrate = 0.005,
  bitmutrate2 = 0.01,
  maxmutdepth = 3,
 minmutinsertiondepth = 1,
 maxmutinsertiondepth = 7,
  lambda = 0.05,
  max2opt = 100,
  scalefactor1 = 0.9,
  scalefactor2 = 0.3,
  scalefactor = "Const",
  cutoffFit = 0.5,
  mutation = "MutateGene",
  replication = "Kid2",
  initgene = "InitGene",
  offset = 1,
  eps = 0.01,
  tournamentSize = 2,
```

```
selectionBias = 1.5,
maxTSR = 1.5,
selection = "SUS",
mateselection = "SUS",
selectionContinuation = TRUE,
scaling = "NoScaling",
scalingThreshold = 0,
scalingExp = 1,
scalingExp2 = 1,
rdmWeight = 1,
drMax = 2,
drMin = 0.5,
dispersionMeasure = "var",
scalingDelay = 1,
accept = "All",
alpha = 0.99,
beta = 2,
cooling = "ExponentialMultiplicative",
coolingPower = 1,
temp0 = 40,
tempN = 0.01,
verbose = 1,
logevals = FALSE,
allsolutions = FALSE,
early = FALSE,
terminationCondition = "NoTermination",
terminationEps = 0.01,
terminationThreshold = 0,
worstFitness = 0,
PACdelta = 0.01,
fSpace = "Hilbert",
cores = NA,
executionModel = "Sequential",
uParApply = NULL,
Cluster = NULL,
profile = FALSE,
batch = FALSE,
path = ".",
semantics = "byValue"
```

Arguments

)

penv	Problem environment.
grammar	A compiled grammar object. Default: NULL. Example: compileBNF(booleanGrammar())
max	If TRUE then Maximize! Default: TRUE. Used in functions EvalGeneDet, EvalGeneStoch, EvalGeneU, and EvalGeneR of package xegaSelectGene.
algorithm	Specifies the algorithm class dependent on gene representation:

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	• "sga": Binary representation (Default).		
	• "sgde": Real representation. E.g. Differential evolution.		
	• "sgperm": Permutation representation.		
	• "sge": Binary representation. Grammatical evolution. (Not yet variable length.)		
	 "sgede": Real representation. Genetic operators from differential evolution. Grammatical evolution. (Not yet variable length.) 		
	• "sgp": Derivation tree representation. Grammar Based Genetic Program- ming.		
popsize	Population size. Default: 100.		
generations	Number of generations. Default: 20.		
crossrate	Probability of applying crossover operator. Default: 0.20. (Global parameter)		
mutrate	Probability of applying mutation operator. Default: 1.0. (Global parameter)		
elitist	Boolean. If TRUE, then keep the best solution in the population. Default: TRUE.		
replay	Integer. If replay>0, then use replay as the seed of the random number gener- ator and store it for the exact repetition of this run. Default: 0.		
maxdepth	The maximal depth of a derivation tree. Default: 7. ("sgp").		
maxtrials	Maximal number of trials for finding subtrees with the same root symbol. De-fault: 5. (sgp).		
codons	The maximal number of codons of derivations on a gene. Default: 25. ("sge").		
codonBits	The number of bits of a codon. Default: 0. ("sge").		
codonPrecision	Specify the method to set the number of bits of a codon ("sge"):		
	• "Min": Sufficient to code the maximal number of choices of production rules for a non-terminal.		
	• "LCM": Contains the least common multiple of the prime factors of the number of choices of production rules for all non-terminals.		
	• "MaxPBias": The computed precision guarantees that the choice rule bias for a non-terminal is below maxPBias.		
	Argument of function factory xegaGePrecisionFactory in package xegaGeGene.		
maxPBias	The threshold of the choice rule bias. Default: 0.01. ("sge").		
evalmethod	Specifies the method of function evaluation:		
	• "EvalGeneU": The function is always evaluated. (Default)		
	• "EvalGeneR": The function is always evaluated. Repairs of the gene by the decoder are possible.		
	"Deterministic": The function is evaluated only once.		
	• "Stochastic": The expected function value and its variance are incremen- tally updated.		
	Argument of function factory EvalGeneFactory in package xegaSelectGene.		
evalrep	Specifies the number of repeated fitness evaluations of a (stochastic) function.		
reportEvalError			
	Report errors in the evaluation of fitness functions. Default: TRUE.		

genemap	Gene map for decoding. Default: "Bin2Dec". The default value works only for algorithm "sga". Used as method argument of the function factory sgXGeneMapFactory of package xega.		
	Available options determined by algorithm:		
	• "sga": Binary representation (Default).		
	- "Bin2Dec": For real parameter vectors.		
	– "Gray2Dec": For real parameter vectors.		
	– "Identity": For 0/1 parameter vectors.		
	– "Permutation": For permutations.		
	See the function factory xegaGaGeneMapFactory in package xegaGaGene.		
	 "sgp": Derivation tree. Gene map is not used, but must be specified. We use xegaGaGene::xegaGaGeneMapFactory with method="Identity". 		
	• "sge": Binary representation (Default). How are genes decoded?		
	– "Mod": The modulo rule.		
	 "Bucket": The bucket rule (with the mLCM). Problem: Mapping 1: 2^k to 1:mLCMG. 		
	See the function factory xegaGeGeneMapFactory in package xegaGeGene.		
	 "sgde": Real coded gene. We use xegaDfGene::xegaDfGeneMapFactory 		
	with method="Identity". Function used: xegaDfGene::xegaDfGeneMapIdentity		
	 "sgperm": Permutation gene. Gene map is not used, but must be specified. We use xegaDfGene::xegaDfGeneMapFactory with method="Identity". Function used: xegaDfGene::xegaDfGeneMapIdentity 		
decoder	Specifies a decoder for a gene, Default: "DecodeGene". For algorithm sge, a second decoder is available: DecodeGeneDT. This decoder is faster, but it may generate code which still contains non-terminal symbols and which does not work.		
crossrate2	Crossover rate for genes with below "average" fitness. Probability of applying crossover operator for genes with a "below average" fitness. Default: 0.30. (Global parameter)		
ivcrossrate	Specifies the method of determining the crossover rate.		
	 "Const" Constant crossover rate. The probability of applying the crossover operator is constant for the whole run of the algorithm. Default: "Const". "IV" Individually variable crossover rate. The crossrate of a gene is determined by the following threshold rule: If the fitness of the gene is higher than lF\$CutoffFit()*lF\$CBestFitness(), then lF\$CrossRate1() else lF\$CrossRate2() is used. 		
	Argument of function factory CrossRateFactory in package xegaPopulation.		
crossover	Crossover method. Default: "CrossGene". The choice of crossover methods de- pends on the setting of the argument algorithm. Used as the method argument in function factory sgXCrossoverFactory of package xega.		
	 algorithm="sga": crossover is an argument of function factory xegaGaCrossoverFactory in package xegaGaGene. 		
	 Crossover operators with 1 kid: * "CrossGene" one-point crossover. 		

	* "UCrossGene" uniform crossover.
	 "UPCrossgene" parameterized uniform crossover. Local parameter: uCrossSwap.
	- Crossover operators with 2 kids:
	* "Cross2Gene" one-point crossover.
	* "UCross2Gene" uniform crossover.
	 "UPCross2gene" parameterized uniform crossover. Local parame- ter: uCrossSwap.
	 algorithm="sgp": crossover is an argument of function factory xegaGpCrossoverFactory
	in package xegaGpGene.
	- Crossover operators with 1 kid:
	* "CrossGene" position-based one-point crossover.
	- Crossover operators with 2 kids:
	* "Cross2Gene" position-based one-point crossover.
	 algorithm="sge": We use the factory xegaGaCrossoverFactory. (Adaptation needed for variable-length binary representation.)
	 algorithm="sgde": crossover is an argument of function factory xegaDfCrossoverFactory in package xegaDfGene.
	- Crossover operators with 1 kid:
	* "CrossGene" one-point crossover (of reals)
	* "UCrossGene" uniform crossover (of reals)
	 "UPCrossGene" parametrized uniform crossover (of reals). Local parameter: uCrossSwap.
	- Crossover operators with 2 kids: Not implemented.
	• algorithm="sgperm": crossover is an argument of function factory xegaPermCrossoverFactory in package xegaPermGene.
	- Crossover operators with 1 kid:
	 * "CrossGene" position-based one-point crossover.
	- Crossover operators with 2 kids:
	* "Cross2Gene" position-based one-point crossover.
uCrossSwap	The fraction of positions swapped in the parametrized uniform crossover oper- ator. A local crossover parameter. Default: 0.2. ("sga" and "sgde"). Used in packages xegaGaGene and xegaDfGene for functions xegaGaUPCross2Gene, xegaDfUPCross2Gene, xegaGaUPCrossGene, and xegaDfUPCrossGene.
mincrossdepth	minimal depth of exchange nodes (roots of subtrees swapped by crossover). ("sgp").
maxcrossdepth	Maximal depth of exchange nodes (roots of subtrees swapped by crossover). ("sgp"). Used in package xegaGpGene functions xegaGpCrossGene and xegaGpCross2Gene in package xegaGpGene.
ivmutrate	"Const" or "IV" (individually variable). Default: "Const".
mutrate2	Mutation rate. Default: 1.0. (Global parameter).
bitmutrate	Bit mutation rate. Default: 0.005. A local mutation parameter. ("sga" and "sge"). Used in package xegaGaGene functions MutateGene IVAdaptiveMutateGene

bitmutrate2	Bit mutation rate for genes with "below average" fitness. Default: 0.01. A local mutation parameter. ("sga" and "sge"). Used in package xegaGaGene functions IVAdaptiveMutateGene			
maxmutdepth	Maximal depth of a derivation tree inserted by a mutation operation. Default: 3. ("sgp").			
minmutinsertior	ndepth			
	Minimal depth at which an insertion tree is inserted. Default: 1. ("sgp").			
maxmutinsertior	ndepth			
	Maximal depth at which an insertion tree is inserted. Default: 7. ("sgp"). Used in package xegaGpGene function xegaGpMutateGene.			
lambda	Decay rate. Default: 0.05. A local mutation parameter. ("sgperm"). Used in package xegaPermGene function xegaPermMutateGenekInversion.			
max2opt	Maximal number of trials to find an improvement by a random edge exchange in a permutation. Default: 100. ("sgperm"). Used in package xegaPermGene function xegaPermMutateGene20pt and xegaPermMutateGene0ptLK.			
scalefactor1	Scale factor for differential mutation operator (Default: 0.9). ("sgde").			
scalefactor2	Scale factor for differential mutation operator (Default: 0.2). ("sgde").			
scalefactor	Method for setting scale factor ("sgde"):			
	"Const": Constant scale factor.			
	• "Uniform": A random scale factor in the interval from 0.000001 to 1.0.			
cutoffFit	Cutoff for fitness. Default: 0.5. ("sga" and "sge"). Used in package xegaGaGene function IVAdaptiveMutateGene.			
mutation	Label specifies the mutation method dependent on algorithm. Default: "Mu- tateGene". The (global) probability of calling a mutation method is specified by mutrate and mutrate2. Used as method argument of the function factory sgXMutationFactory in package xega.			
	 algorithm="sga": mutation is an argument of function factory xegaGaMutationFactory in package xegaGaGene. 			
	 "MutateGene": Bitwise mutation. Local parameter: bitmutrate. Func- tion used: xegaGaGene::xegaGaMutateGene. 			
	- "IVM": Individually variable mutation. Intuitively, we know that bad genes need higher mutation rates. Good genes have a fitness which is above a threshold fitness. The threshold is determined as a percentage of the current best fitness in the population. The percentage is set by the parameter cutoffFit. Local parameters: bitmutrate for good genes. bitmutrate2 for bad genes. bitmutrate2 should be higher than bitmutrate.			
	 algorithm="sgp": mutation is an argument of function factory xegaGpMutationFactory in package xegaGpGene. 			
	 "MutateGene": Random insertion of a random derivation tree. Local parameter: maxmutdepth. Function used: xegaGpGene::xegaGpMutateGene. 			
	• algorithm="sge": mutation is an argument of function factory xegaGaMutationFactory. Nothing specific to grammatical evolution has been implemented.			

	 algorithm="sgde": mutation is an argument of function factory xegaDfMutationFactory in package xegaDfGene. "MutateGene": Add the scaled difference of the parameters of two randomly selected to a gene. Local parameters: Choice of function for scalefactor as well as scalefactor1 and scalefactor2. Function
	used: xegaDfGene::xegaDfMutateGeneDE.algorithm="sgperm": mutation is an argument of function factory xegaPermMutationFactory
	 in package xegaPermGene. "MutateGene": Function used: xegaPermGene::xegaPermMutateGeneOrderBased. "MutateGeneOrderBased": See "MutateGene". "MutateGenekInversion": Function used: xegaPermGene::xegaPermMutateGenekInversion. "MutateGene2Opt": Function used: xegaPermGene::xegaPermMutateGene2Opt. "MutateGenekOptLK": Function used: xegaPermGene::xegaPermMutateGenekOptLK. "MutateGeneGreedy": Function used: xegaPermGene::xegaPermMutateGenekOptLK. "MutateGeneGreedy": Function used: xegaPermGene::xegaPermMutateGeneKOptLK.
	 "MutateGeneMix": Function used: xegaPermGene::xegaPermMutateMix.
replication	"Kid1" or "Kid2". Default: "Kid1". For algorithms "sga", "sgPerm", "sgp", and "sge": "Kid1" means a crossover operator with one kid, "Kid2" means a crossover operator with two kids. For algorithm "sgde", replication must be set to "DE".
	Used as the method argument of the function factory sgXReplicationFactory of package xega.
initgene	Default: "InitGene". For algorithm "sgp",
	 "InitGene": Random derivation tree. "InitGeneGe": Random derivation tree from random integer vector.
offset	Offset used in proportional selection. Default: 1. Used in the following func- tions of package xegaSelectGene: ScaleFitness, PropFitOnLn, PropFit, PropFitM, PropFitDiffOnLn, PropFitDiff, SUS.
eps	Epsilon in proportional fitness difference selection. Default: 0.01. Used in package xegaSelectGene function PropFitDiffM.
tournamentSize	Tournament size. Default: 2. Used in package xegaSelectGene functions SelectTournament, SelectSTournament.
selectionBias	(> 1.0). Controls selection pressure for Whitley's linear rank selection with selective pressure. Default: 1.5. Near 1.0: almost uniform selection. Used in package xegaSelectGene function SelectLRSelective,
maxTSR	Controls selection pressure for Grefenstette and Baker's linear rank selection method. Should be higher than 1.0 and lower equal 2.0. Default: 1.5. Used in package xegaSelectGene function SelectLinearRankTSR.

Selection method for the first parent of crossover. Default: "SUS". selection

Selection method for the second parent of crossover. Default: "SUS". mateselection

Available selection methods for the selection method of a parent:

• Uniform random selection: "Uniform".

	• Uniform random selection without replacement: "UniformP".
	 Proportional to fitness: "ProportionalOnIn" (fastest), "Proportional", "ProportionalM",
	 Proportional to fitness differences: "PropFitDiffOnln" (fastest), "PropfitD- iff", "PropfitDiffM",
	• Stochastic universal sampling: "SUS",
	• Tournament selection: "Duel" (fastest), "Tournament", "STournament",
	• Rank selection: "LRSelective" (fastest), "LRTSR".
	Argument of function factory SelectGeneFactory in package xegaSelectGene.
selectionCont	inuation
	Boolean. If TRUE, precomputes selection indices for next generation once and transforms selection function to index lookup continuation. Default: TRUE. Used in package xegaPopulation function xegaNextPopulation.
scaling	Scaling method. Default: "NoScaling". Available scaling methods:
	• "NoScaling",
	• "ConstantScaling" (Static),
	• "ThresholdScaling" (Dynamic),
	"ContinuousScaling" (Dynamic).
	Argument of function factory ScalingFactory in package xegaSelectGene.
scalingThresh	old
	Numerical constant. Default: 0.0. If the ratio of dispersion measures is in [(1-scalingThreshold), 1+scalingThreshold)], fitness is not scaled. Used in package xegaSelectGene function ThresholdScaleFitness.
scalingExp	Scaling exponent k in fit ^k . With "ConstantScaling": 0 =< k. With "Thresh- oldScaling": 1 < k. (Default: 1) Used in package xegaSelectGene, functions ScalingFitness, ThresholdScaleFitness.
scalingExp2	Scaling exponent for "ThresholdScaling": 0 <= k <1. (Default: 1) Used in pack- age xegaSelectGene function ThresholdScaleFitness.
rdmWeight	Numerical constant. Default: 1.0. Weight of ratio of dispersion measures in continuous scaling. Used in package xegaSelectGene function ContinuousScaleFitness.
drMax	Maximal allowable dispersion ratio. Default: 2.0. Used in package xegaSelectGene function DispersionRatio.
drMin	Minimal allowable dispersion ratio. Default: 0.5. Used in package xegaSelectGene function DispersionRatio.
dispersionMeas	sure
	Dispersion measure specifies a concrete dispersion measure of the population's fitness vector at generation k. (e.g. the variance of the population fitness). In dynamic scaling methods the ratio of dispersion measures at k and k-j is often used to adapt the selection pressure. Default: "var". Available dispersion measures: "var, "std", "mad", "cv", "range", "iqr". Argument of function factory DispersionMeasureFactory in package xegaSelectGene.
scalingDelay	The ratio of dispersion measures compares the current population dispersion at t with the population dispersion at t-scalingdelay. Default: 1. Used in package xegaSelectGene function DispersionRatio.

accept	Acceptance rule for a new gene. Default: "All".
	"All" function AcceptNewGene
	"Best" function AcceptBest
	• "Metropolis" function AcceptMetropolis. The behavior of this acceptance rule depends on:
	1. The distance between the fitness values. The larger the distance, the larger the drop in acceptance probability.
	 alpha is 1 minus the discount rate of the cooling schedule. alpha is in [0, 1]. The smaller the alpha, the faster the drop in temperature and thus acceptance probability.
	3. beta a constant. The larger the beta, the faster the drop in acceptance probability.
	4. temperature the starting value of the temperature. Must be higher than the number of generations.
	• "IVMetropolis" function AcceptIVMetropolis. The behavior of this acceptance rule is qualitatively the same as that of the Metropolis acceptance rule above. The acceptance rule is adaptive by a correction of the temperature in proportion to the difference between the fitness of the current best and the fitness of the gene considered.
	Argument of function factory AcceptFactory in package xegaPopulation.
alpha	1 minus the discount rate for temperature. (Default: 0.99). (Used in the cooling schedule at the end of main GA-loop.)
beta	Constant in the Metropolis acceptance rule. (Default: 2.0). (Used in the Metropolis acceptance rule.)
cooling	Cooling schedule for temperature. (Default: "ExponentialMultiplicative")
	• "ExponentialMultiplicative" calls ExponentialMultiplicativeCooling
	• "LogarithmicMultiplicative" calls LogarithmicMultiplicativeCooling
	 "PowerMultiplicative" calls PowerMultiplicativeCooling
	 "PowerAdditive" calls PowerAdditiveCooling
	 "ExponentialAdditive" calls ExponentialAdditiveCooling
	 "TrigonometricAdditive" calls TrigonometricAdditiveCooling
	Argument of function factory CoolingFactory in package xegaPopulation.
coolingPower	Exponent for PowerMultiplicative cooling schedule. (Default: 1. This is called linear multiplicative cooling.)
temp0	Starting value of temperature (Default: 40). (Used in the Metropolis acceptance rule. Updated in the cooling schedule.)
tempN	Final value of temperature (Default: 0.01). (Used in the Metropolis acceptance rule. Updated in the cooling schedule.)
verbose	The value of verbose (Default: 1) controls the information displayed:
	1. == 0: Nothing is displayed.
	2. == 1: 1 point per generation.
	3. > 1: Max(fit), number of solutions, indices.

	4. > 2: and population fitness statistics.
	5. $>$ 3: and fitness, value of phenotype, and phenotype.
	6. > 4: and str(genotype).
logevals	Boolean. If TRUE then log all evaluations and their parameters in the file xegaEvalLog <exclusive pattern="">.rds. Default: FALSE.</exclusive>
	<pre>log<-readRDS(xegaEvalLog<exclusive pattern="">.rds) reads the log. The log is a list of named lists with the following elements:</exclusive></pre>
	• \$generation: The generation.
	• \$fit: The fitness value.
	• \$sigma: The standard deviation of the fitness value, if it exists. Default: 0.
	• \$obs: The number of observations for computing the fitness value, if it exists. Default: 0.
	• \$phenotype: The phenotype of the gene.
allsolutions	Boolean. If TRUE, then return all the best solutions. Default: FALSE.
early	Boolean. If FALSE (Default), ignore the code for early termination. See Parabola2DEarly.
terminationCond	dition
	Termination condition. Avalailable:
	• "NoTermination" (Default).
	 "AbsoluteError": Algorithm ends if current optimum is in optimum +/- terminationEps.
	 "RelativeError": Algorithm ends if current optimum is in optimum +/- terminationEps*optimum. If the optimum is 0, the interval has length 0.
	 "RelativeErrorZero": Algorithm ends if current optimum is in optimum +/- terminationEps*optimum. If the optimum is 0, the interval is from -terminationEps to terminationEps.
	• "PAC": Algorithm ends if current optimum is in ub +/- terminationEps*optimum.
	If up is 0, the interval is from -terminationEps to terminationEps. ub
	that the optimum is above ub is set by PACdelta. The epsilon environment by terminationEps.
	• "GEQ": Algorithm ends if the current optimal phenotype value is greater or equal than terminationThreshold.
	 "LEQ": Algorithm ends if the current optimal phenotype value is less or equal than terminationThreshold.
terminationEps	Fraction of the known optimal solution for computing termination interval. De-fault: 0.01. See Parabola2DEarly.
terminationThre	eshold
	A threshold for terminating the algorithm. Defaul: 0.0.
worstFitness	Set the worst fitness. Default: 0.0. Used e.g. in evalgeneU() for giving genes whose evaluation failed a very low fitness value to decrease their survival rate into the next generation.
PACdelta	P(ub <opt)<pacdelta. 0.01.<="" default:="" td=""></opt)<pacdelta.>

fSpace	Function space of fitness function. Default: "Hilbert".		
cores	Number of cores used for multi-core parallel execution. (Default: NA. NA means that the number of cores is set by parallelly:availableCores() if the execution model is "MultiCore" or "MultiCoreHet".		
executionModel	Execution model of fitness function evaluation. Available:		
	 "Sequential": base::lapply is used. "MultiCore": parallel::mclapply is used. "MultiCoreHet": parallel::mclapply is used. For tasks with a high variance in execution time. "FutureApply": future.apply::future_lapply is used. Requires the specification of a plan. "FutureApplyHet": future.apply::future_lapply is used. For tasks with a high variance in execution time. Requires the specification of a plan. "Cluster": parallel::parLapply is used. Requires a proper configuration of the cluster and the specification of an exit handler to shutdown the cluster. "ClusterHet": parallel::parLapplyLB is used. Requires a proper configuration of the cluster and the specification of an exit handler to shutdown the cluster. 		
	Default: "Sequential".		
uParApply	A user-defined parallel apply function (e.g. for Rmpi). If specified, overrides settings for executionModel. Default: NULL.		
Cluster	A cluster object generated by parallel::makeCluster() or parallelly::makeCluster(). Default: NULL.		
profile	Boolean. If TRUE measures execution time and counts the number of executions of the main components of genetic algorithms. Default: FALSE.		
batch	Boolean. If TRUE, then save the result in the file xegaResult <exclusive pattern="">.rds. Default: FALSE.</exclusive>		
path	Path. Default: ".".		
semantics	 Determines the representation of the local function list 1F. Default: "byValue". "byValue": 1F is a named list object. "byReference": 1F is an environment. 		

Details

The algorithm expects a problem environment penv which is a named list with at least the following functions:

- \$name(): The name of the problem environment.
- \$f(parm, gene=0, 1F=0): The function to optimize. The parameters gene and IF are provided for future extensions.

Additional parameters needed depend on the algorithm and the problem environment. For example, for binary genes for function optimization, additional elements must be provided:

• \$bitlength(): The vector of the bitlengths of the parameters.

- \$genelength(): The number of bits of a gene.
- \$lb(): The vector of lower bounds of the parameters.
- \$ub(): The vector of upper bounds of the parameters.

Value

Result object. A named list of

- 1. \$popStat: A matrix with mean, min, Q1, median, Q3, max, variance, and median absolute deviation of population fitness as columns: i-th row for the measures of the i-th generation.
- 2. \$fit: Fitness vector if generations<=1 else: NULL.
- 3. \$solution: Named list with fields
 - \$solution\$name: Name of problem environment.
 - \$solution\$fitness: Fitness value of the best solution.
 - \$solution\$value: The evaluated best gene.
 - \$solution\$numberofsolutions: Number of solutions with the same fitness.
 - \$solution\$genotype: The gene is a genetic code.
 - \$solution\$phenotype: The decoded gene.
 - \$solution\$phenotypeValue: The value of the function of the parameters of the solution.
 - \$solution\$evalFail: Number of failures or fitness evaluations
 - and, if configured, \$solution\$allgenotypes, as well as \$solution\$allphenotypes.
- 4. \$GAconfig: For rerun with xegaReRun().
- 5. \$GAenv: Attribute value list of GAconfig.
- 6. \$timer: An attribute value list with the time used (in seconds) in the main blocks of the GA: tUsed, tInit, tNext, tEval, tObserve, and tSummary.
- 7. \$logfn: File name of logfile. Default: NA.
- 8. \$resfn: File name of RDS-file with result. Default: NA.

Problem Specification

The problem specification consists of

- penv: The problem environment.
- max: Maximize? Boolean. Default: TRUE.
- grammar: A grammar object. For the algorithms "sgp" and "sge".

Basic Parameters

The main parameters of a "standard" genetic algorithm are:

- popsize: Population size.
- generations: Number of generations.
- crossrate: Constant probability of one-point crossover.

• mutrate: Constant probability of mutation.

crossrate and mutrate specify the probability of applying the genetic operators crossover and mutation to a gene.

Two more parameters are important:

- elitist: Boolean. If TRUE (default), the fittest gene always survives.
- replay: Integer. If 0 (default), a random seed of the random number generator is chosen. For exact replications of a run of a genetic algorithm, set replay to a positive integer.

Global and Local Parameters

However, when using uniform crossover instead of one-point crossover, an additional parameter which specifies the probability of taking a bit from the first parent becomes necessary. Therefore, we distinguish between global and local operator parameters:

- 1. Global operator parameters: The probabilities of applying a crossover (crossrate) or a mutation operator (mutrate) to a gene.
- 2. Local operator parameters: E.g. the per-bit probability of mutation or the probability of taking a bit from parent 1 for the uniform crossover operator. Local operator parameters affect only the genetic operator which needs them.

There exist several advantages of this classification of parameters:

- For the formal analysis of the behavior of the algorithms, we achieve a division in two parts: The equations of the global parameters with operator-specific expressions as plug-ins.
- For empirically finding parameterizations for problem classes, we propose to fix local parameters at reasonable values (e.g. based on biological evidence) and conditional on this optimize the (few) remaining global parameters.
- For parallelization, specialized gene processing pipelines can be built and more efficiently executed, because the global parameters crossrate and mutrate decide which genes survive
 - 1. unchanged,
 - 2. mutated,
 - 3. crossed, and
 - 4. crossed as well as mutated.

To mimic a classic genetic algorithm with crossover and bit mutation rate, the probability of applying the mutation operator to a gene should be set to 1.

Global Adaptive Mechanisms

The adaptive mechanisms described in the following are based on threshold rules which determine how a parameter of the genetic operator is adapted. The threshold conditions are based on population statistics:

Adaptive Scaling. For adaptive scaling, select a dynamic scaling method, e.g. scaling="ThresholdScaling". A high selection pressure decreases the dispersion in the population. The parameter scalingThreshold is a numerical parameter which defines an interval from 1-scalingThreshold to 1+scalingThreshold:

1. If the RDM is in this interval, the fitness function is not scaled.

- 2. If the RDM is larger than the upper bound of the interval, the constant scalingExp which is higher than 1 is chosen for the scaling function. This implements the rule: If the dispersion has increased, increase the selection pressure.
- 3. If the RDM is smaller than the lower bound of the interval, the constant scalingExp2 which is smaller than 1 is chosen for the scaling function. This implements the rule: If the dispersion has decreased, increase the selection pressure.

The dispersion measure is computed as the ratio of the dispersion measure at t relative to the dispersion measure at t-scalingDelay. The default dispersion measure is the variance of the population fitness (dispersionMeasure="var"). However, other dispersion measures ("std", "mad", "cv", "range", "iqr") can be configured.

Another adaptive scaling method is continuous scaling (scaling="ContinuousScaling"). The scaling exponent is adapted by a weighted ratio of dispersion measures. The weight of the exponent is set by rdmWeight=1.1, its default is 1.0. Since the ratio of dispersion measures may be quite unstable, the default limits for the ratio are drMin=0.5 and drMax=2.0.

Individually Variable Mutation and Crossover Probabilities

The rationale of individually variable mutation and crossover rates is that selected genes with a low fitness should be changed by a genetic operator with a higher probability. This increases the chance of survival of the gene because of the chance of a fitness increase through crossover or mutation.

Select an adaptive genetic operator rate: For the crossover rate, ivcrossrate="IV". For the mutation rate, ivmutrate="IV".

If the fitness of a gene is higher than cutoffFit times the current best fitness, the crossover rate is crossrate else the crossover rate is crossrate2.

If the fitness of a gene is higher than cutoffFit times the current best fitness, the mutation rate is mutrate else the mutation rate is mutrate2.

The Initialization of a Population

For the algorithms "sga", "sgde", and "sgperm" the information needed for initialization is the length of the gene in bits, in parameters, and in the number of symbols of a permutation. For "sgp", the depth bound gives an upper limit for the program which can be represented by a derivation tree. For "sge", a codon is an integer for selecting a production rule. The number of bits of a gene is codons*codonBits.

Algorithm		Parameters
"sga"	Number of bits.	<pre>penv\$genelength()</pre>
"sgde"	Number of parameters.	<pre>length(penv\$bitlength(), penv\$lb(), penv\$ub()</pre>
"sgede"	Number of Codons.	codons, codonPrecision
"sgperm"	Number of symbols.	<pre>penv\$genelength()</pre>
"sgp"	Depth bound of derivation tree.	maxdepth
"sge"	Number of codons and	codons, codonBits, codonPrecision, maxPBias
	number of bits of a codon.	

The Pipeline of Genetic Operators

The pipeline of genetic operators merges the pipeline of a genetic algorithm with the pipeline of evolutionary algorithms and simulated annealing by adding an acceptance step:

- For evolutionary algorithms, the acceptance rule accept="Best" means that the fitter gene out of a parent and its kid survives (is copied into the next generation).
- For genetic algorithms the acceptance rule accept="All" means that always the kid survives.
- For simulated annealing the acceptance rule accept="Metropolis" means that the survival probability of a kid with a fitness worse than its parent decreases as the number of generations executed increases.

Proper configuration of the pipeline allows the configuration of new algorithm variants which mix elements of genetic, evolutionary, and simulated annealing algorithms.

The following table gives a working standard configuration of the pipeline of the genetic operators for each of the five algorithms:

Step/Algorithm	''sga''	"sgde"	"sgperm"
(next) Scaling	NoScaling	NoScaling	NoScaling
(next) Selection	SUS	UniformP	SUS
(next) Replication	Kid2	DE	Kid2
(next) Crossover	Cross2Gene	UCrossGene	Cross2Gene
(next) Mutation	MutateGene	MutateGeneDE	MutateGene
(next) Acceptance	All	Best	All
(eval) Decoder	Bin2Dec	Identity	Identity
(eval) Evaluation	EvalGeneU	EvalGeneU	EvalGeneU

Step/Algorithm	"sgp"	"sge"	"sgede"
(next) Scaling	NoScaling	NoScaling	NoScaling
(next) Selection	SUS	SUS	UniformP
(next) Replication	Kid2	Kid2	DE
(next) Crossover	Cross2Gene	Cross2Gene	UCrossGene
(next) Mutation	MutateGene	MutateGene	MutateGeneDE
(next) Acceptance	All	All	Best
(eval) Decoder	-	Mod	Identity
(eval) Evaluation	EvalGeneU	EvalGeneU	EvalGeneU

Scaling

In genetic algorithms, scaling of the fitness functions has the purpose of increasing or decreasing the selection pressure. Two classes of scaling methods are available:

- Constant scaling methods.
 - No scaling (configured by scaling="NoScaling").
 - Constant scaling (configured by scaling="ConstantScaling"). Depends on the scaling exponent scalingExp.
- Adaptive scaling methods.
 - Threshold scaling (configured by scaling="ThresholdScaling"). It is configured with
 the scaling exponents scalingExp and scalingExp2, and the scaling threshold scalingThreshold.
 It uses a threshold rule about the change of a dispersion measure of the population fitness
 lF\$RDM() to choose the scaling exponent:

- * 1F\$RDM()>1+scalingThreshold: The scaling exponent is scalingExp which should be greater than 1. Rationale: Increase selection pressure to reduce the dispersion of fitness.
- * 1F\$RDM()<1-scalingThreshold: The scaling exponent is scalingExp2 which should be lower than 1. Rationale: Decrease selection pressure to increase the dispersion of fitness.
- * Else: Scaling exponent is 1. Fitness is not scaled.
- Continuous scaling (configured by scaling="ContinuousScaling"). The ratio of the dispersion measures lF\$RDM() is greater than 1 if the dispersion increased in the last generation and less than 1 if the dispersion decreased in the last generation. The scaling exponent is the product of the ratio of the dispersion measures lF\$RDM() with the weight rdmWeight.

The change of the dispersion measure of the population fitness is measured by the function 1F\$RDM() (RDM means (R)atio of (D)ispersion (M)easure). This function depends on

- the choice of a dispersion measure of the population fitness dispersionMeasure. The variance is the default (dispersionMeasure="var"). The following dispersion measures of the population fitness are avalable: Variance ("var"), standard deviation ("std"), median absolute deviation ("mad"), coefficient of variation ("cv"), range ("range"), interquartile range ("iqr").
- the scaling delay scalingDelay. The default is scalingDelay=1. This means the ratio of the variance of the fitness of the population at time t and the variance of the fitness of the population at time t-1 is computed.
- the upper and lower bounds of the ratio of dispersion measures.
- Dispersion ratios may have extreme fluctuations: The parameters drMax and drMin define upper and lower bounds of the ratio of dispersion measures. The defaults are drMax=2 and drMin=1.

See package xegaSelectGene <https://CRAN.R-project.org/package=xegaSelectGene>

Selection

Selection operators determine which genes are chosen for the replication process for the next generation. Selection operators are configured by selection and mateselection (the 2nd parent for crossover). The default operator is stochastic universal selection for both parents (configured by selection="SUS" and mateselection="SUS"). The following operators are implemented:

- Uniform random selection with replacement (configured by "Uniform"). Needed for simulating uniform random mating behavior, for computer experiments without selection pressure, and for computing random search solutions as naive benchmarks.
- Uniform random selection without replacement (configured by "UniformP"). Needed for differential evolution.
- Selection proportional to fitness (in O(n) by "SelectPropFit", in O(n*log(n)) by "SelectPropFitOnln", and in O(n^2) by "SelectPropFitM"). offset configures the shift of the fitness vector if min(fit)=<0.

- Selection proportional to fitness differences (in O(n) by "SelectPropFitDiff", in O(n*log(n)) by "SelectPropFitDiffOnln", and in O(n^2) by "SelectPropFitDiffM"). Even the worst gene should have a minimal chance of survival: eps is added to the fitness difference vector. This also guarantees numerical stability for populations in which all genes have the same fitness.
- Deterministic tournament selection of k genes (configured by "Tournament"). The tournament size is configured by tournamentSize. Selection pressure increases with tournament size. The worst k-1 genes of a population never survive.
- Deterministic tournament selection of 2 genes (configured by "Duel").
- Stochastic tournament selection of k genes (configured by "STournament"). The tournament size is configured by tournamentSize.
- Linear rank selection with selective pressure (configured by "LRSelective"). The selection bias which regulates the selection pressure is configured by selectionBias (should be between 1.0 (uniform selection) and 2.0).
- Linear rank selection with interpolated target sampling rates (configured by "LRTSR"). The maximal target sampling rate is configured by maxTSR (should be between 1 and 2).
- Stochastic universal sampling (configured by "SUS").

If selectionContinuation=TRUE, then selection functions are computed exactly once per generation. They are transformed into lookup functions which deliver the index of selected genes by indexing a vector of integers.

See package xegaSelectGene < https://CRAN.R-project.org/package=xegaSelectGene>

Replication

For genetic algorithms ("sga", "sgp", sgperm", and "sge") in the replication process of a gene the crossover operator may by configured to produce one new gene (replication="Kid1") or two new genes (replication="Kid2"). The first version loses genetic information in the crossover operation, whereas the second version retains the genetic material in the population. There is a dependency between replication and crossover: "Kid2" requires a crossover operator which produces two kids. The replication method is configured by the function xegaGaReplicationFactory() of package xegaGaGene.

Note that only the function xegaGaReplicateGene of xegaGaGene (configured with replication="Kid1") implements a genetic operator pipeline with an acceptance rule.

For differential evolution (algorithm "sgde") and grammatical evolution with differential evolution operators (algorithm "sgede"), replication="DE" must be configured. The replication method for differential evolution is configured by the function xegaDfReplicationFactory() of package xegaDfGene. It implements a configurable acceptance rule. For classic differential evolution, use accept="Best".

Crossover

The table below summarizes the crossover operators available in the current version.

Algorithm:	"sga" and "sge"	Package:	xegaGaGene	
Kids	Name	Function	crossover=	Influenced

by

(2 kids)	1-Point	xegaGaCross2Gene()	"Cross2Gene"	
	Uniform	xegaGaUCross2Gene()	"UCross2Gene"	
	Parametrized Uniform	xegaGaUPCross2Gene()	"UPCross2Gene"	ucrossSwap
(1 kid)	1-Point	xegaGaCrossGene()	"CrossGene"	
	Uniform	xegaGaUCrossGene()	"UCrossGene"	
	Parametrized Uniform	xegaGaUPCrossGene()	"UPCrossGene"	ucrossSwap
Algorithm:	"sgde" and "sgede"	Package:	xegaDfGene	
(1 kid)	1-Point	xegaDfCrossGene()	"CrossGene"	
	Uniform	xegaDfCrossGene()	"UCrossGene"	
	Parametrized Uniform	xegaDfUPCrossGene()	"UPCrossGene"	ucrossSwap
Algorithm:	"sgperm"	Package:	xegaPermGene	
(2 kids)	Position-Based	xegaPermCross2Gene()	"Cross2Gene"	
(1 kid)	Position-Based	xegaPermCrossGene()	"CrossGene"	
Algorithm:	"sgp"	Package:	xegaGpGene	
(2 kids)	of Derivation Trees	xegaGpAllCross2Gene()	"Cross2Gene" or	maxcrossdepth,
			"All2Cross2Gene"	maxdepth,
				and maxtrials
	of Depth-Filtered	xegaGpFilterCross2Gene()	"FilterCross2Gene"	maxcrossdepth,
	Derivation Trees			mincrossdepth,
				maxdepth,
				and maxtrials
(1 kid)	of Derivation Trees	xegaGpAllCrossGene()	"CrossGene"	maxcrossdepth,
				maxdepth,
				and maxtrials
	of Depth-Filtered	xegaGpFilterCrossGene()	"FilterCrossGene"	maxcrossdepth,
	Derivation Trees			mincrossdepth,
				maxdepth,
				and maxtrials

Mutation

The table below summarizes the mutation operators in the current version.

Algorithm:	"sga" and "sge"	Package:	xegaGaGene
Name	Function	mutation=	Influenced by
Bit Mutation	xegaGaMutateGene()	"MutateGene"	bitmutrate
Individually	xegaGaIVAdaptiveMutateGene()	"IVM"	bitmutrate,
Variable Bit			bitmutrate2,
Mutation			and cutoffFit
Algorithm:	"sgde" and "sgede"	Package:	xegaDfGene
Differential	xegaDfMutateGeneDE()	"MutateGene" or	lF\$ScaleFactor()
Evolution Mutation	-	"MutateGeneDe"	(Configurable)
Algorithm:	"sgperm"	Package:	xegaPermGene
Generalized Order	xegaPermMutateGeneOrderBased()	"MutateGene"	bitmutrate
Based Mutation	-	"MutateGeneOrderBased"	
k Inversion	xegaPermMutateGenekInversion()	"MutateGenekInversion"	lambda
Mutation			

2-Opt Mutation	xegaPermMutateGene2Opt()	"MutateGene2Opt"	max2opt
k-Opt LK Mutation	xegaPermMutateGenekOptLK()	"MutateGenekOptLK"	max2opt
(Lin-Kernighan)			
Greedy Path	xegaPermMutateGeneGreedy()	"MutateGeneGreedy"	lambda
Mutation			
Best Greedy Path	xegaPermMutateGeneBestGreedy()	"MutateGeneBestGreedy"	lambda
Mutation			
Random Mutation	xegaPermMutateMix()	"MutateGeneMix"	
Operator			
Algorithm:	''sgp''	Package:	xegaGpGene
Derivation Tree	xegaGpMutateAllGene()	"MutateGene" or	maxmutdepth
Mutation	- - "	"MutateAllGene"	-
Filtered Derivation	xegaGpMutateGeneFilter()	"MutateFilterGene"	maxmutdepth,
Tree Mutation			minmutinsertiondepth,
			and maxmutinsertiondepth

Acceptance

Acceptance rules are extensions of genetic and evolutionary algorithms which - to the best of my knowledge - have their origin in simulated annealing. An acceptance rule compares the fitness value of a modified gene with the fitness value of its parent and determines which of the two genes is passed into the next population.

An acceptance rule is only executed as part of the genetic operator pipeline, if replicate="Kid1" or replicate="DE".

Two classes of acceptance rules are provided:

- Simple acceptance rules.
 - Accept the new gene unconditionally (configured by accept="All"). The new gene is always passed to the next population. Choose the rule for configuring a classic genetic algorithm. (The default).
 - Accept only the best gene (configured by accept="Best"). This acceptance rule guarantees an increasing fitness curve over the run of the algorithm. For example, classic differential evolution uses this acceptance rule.
- Configurable acceptance rules. The rules always accept a new gene with a fitness improvement. They also accept a new gene with a lower fitness with a probability which depends on the fitness difference of the old and the new gene and a temperature parameter which is reduced over the algorithm run by a configurable cooling schedule.
 - The Metropolis acceptance rule (configured by accept="Metropolis"). The larger the parameter beta is set, the faster the drop in acceptance probability.
 - The individually adaptive Metropolis acceptance rule (configured by accept="IVMetropolis"). The larger the parameter beta is set, the faster the drop in acceptance probability. Individually adaptive means that the temperature is corrected. The correction (increase) of temperature depends on the difference between the fitness of the currently known best solution and the fitness of the new gene.

The cooling schedule updates the temperature parameter at the end of the main loop. The following cooling schedules are available:

- Exponential multiplicative cooling (configured by cooling="ExponentialMultiplicative"). Depends on the discount factor alpha and the start temperature temp0.
- Logarithmic multiplicative cooling (configured by cooling="LogarithmicMultiplicative"). Depends on the scaling factor alpha and the start temperature temp0.
- Power multiplicative cooling (configured by cooling="PowerMultiplicative"). Depends on the scaling factor alpha, the cooling power exponent coolingPower, and the start temperature temp0.
- Power additive cooling (configured by cooling="PowerAdditive"). Depends on the number of generations generations, the cooling power exponent coolingPower, the start temperature temp0, and the final temperature tempN.
- Exponential additive cooling (configured by cooling="ExponentialAdditive"). Depends on the number of generations generations, the start temperature temp0, and the final temperature tempN.
- Trigonometric additive cooling (configured by cooling="TrigonometricAdditive"). Depends on the number of generations generations, the start temperature temp0, and the final temperature tempN.

See package xegaPopulation https://CRAN.R-project.org/package=xegaPopulation

Decoder

Decoders are algorithm and task-dependent. Their implementation often makes use of a gene map. The table below summarizes the available decoders and gene maps of the current version.

''sga''	"sgde"	"sgperm"
xegaGaGene	xegaDfGene	xegaPermGene
xegaGaDecodeGene()	xegaDfDecodeGene()	xegaPermDecodeGene()
xegaGaGeneMapFactory()	xegaDfGeneMapFactory()	(Not configurable)
"Bin2Dec"	"Identity"	-
"Gray2Dec"		
"Identity"		
"Permutation"		
	"sga" xegaGaGene xegaGaDecodeGene() xegaGaGeneMapFactory() "Bin2Dec" "Gray2Dec" "Identity" "Permutation"	"sga""sgde"xegaGaGenexegaDfGenexegaGaDecodeGene()xegaDfDecodeGene()xegaGaGeneMapFactory()xegaDfGeneMapFactory()"Bin2Dec""Identity""Gray2Dec""Identity""Permutation"

Algorithm:	"sgp"	"sge"	"sgede"
In package:	xegaGpGene	xegaGeGene	xegaGeGene
Decoder Factories	(Not configurable)	xegaGeDecodeGeneFactory()	xegaGeDecodeGeneFactory()
Decoder:	xegaGpDecodeGene()		
Method:		"DecodeGene"	"DecodeGene"
Method:		"DecodeGeneDT"	"DecodeGeneDT"
Gene map factories:	(Not configurable)	xegaGeGeneMapFactory()	xegaDfGeneMapFactory()
Method		"Mod"	"Identity"
Method		"Buck"	

Evaluation

The method of evaluation of a gene is configured by evalmethod: "EvalGeneU" means that the function is always executed, "Deterministic" evaluates a gene only once, and "Stochastic" incrementally updates the mean and variance of a stochastic function. If reportEvalErrors==TRUE, evaluation failures are reported. However, for grammatical evolution without gene repair this should be set to FALSE. See package xegaSelectGene https://CRAN.R-project.org/package=xegaSelectGene

Distributed and Parallel Processing

The current scope of parallelization is the parallel evaluation of genes (the steps marked with (eval) in the genetic operator pipeline. This strategy is less efficient for differential evolution and permutation-based genetic algorithms because of the embedding of repeated evaluations into genetic operators.

In general, distributed and parallel processing requires a sequence of three steps:

- 1. Configure and start the distributed or parallel infrastructure.
- 2. Distribute processing and collect results. In an evolutionary or genetic algorithm, the architectural pattern used for the implementation of coarse-grained parallelism by parallel evaluation of the fitness of the genes of a population is the master/worker pattern. In principle, the lapply()-function for evaluating a population of genes is replaced by a parallel version.
- 3. Stop the distributed or parallel infrastructure.

For evolutionary and genetic algorithms, the second step is controlled by two parameters, namely executionModel and uParApply:

- 1. If uParApply=NULL, then executionModel provides four ways of evaluating the fitness of a population of genes:
 - (a) executionModel="Sequential": The apply function used is base::lapply(). (Default).
 - (b) executionModel="MultiCore": The apply function used is parallel::mclapply(). If the number of cores is not specified by cores, the number of available cores is determined by parallelly::availableCores().
 - (c) executionModel="MultiCoreHet": The apply function used is parallel::mclapply() with mc.preschedule=FALSE. If the number of cores is not specified by cores, the number of available cores is determined by parallelly::availableCores(). This improves speed for tasks with a high variance in execution time.
 - (d) executionModel="FutureApply": The apply function used is future.apply::future_lapply(). The parallel/distributed model depends on a proper future::plan() statement.
 - (e) executionModel="Cluster": The apply function used is parallel::parLapply(). The information about the configuration of the computing cluster (master, port, list of workers) must be provided by Cluster=cl where cl<-parallel::makeClusterPSOCK(rep(localhost, 5)) generates the cluster object and starts the R processes (of 5 workers in the same machine).
- 2. Assume that a user-defined parallel apply function has been defined and called UPARAPPLY. By setting uParApply=UPARAPPLY, the lapply() function used is UPARAPPLY(). This overrides the specification by executionModel. For example, parallelization via the MPI interface can be achieved by providing a user-defined parallel lapply() function which is imple-

mented by a user-defined function whose function body is the line Rmpi::mpi.parLapply(pop, FUN=EvalGene, 1F=1F).

See package xegaPopulation < https://CRAN.R-project.org/package=xegaPopulation>

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Reporting

- verbose controls the information reported on the screen. If verbose is 1, then one dot is printed per generation to the console.
- reportEvalErrors=TRUE reports the output of errors of fitness function evaluations to the console. Grammatical evolution (algorithm "sge") routinely attempts to evaluate incomplete derivation trees. This leads to an evaluation error of the fitness function.
- profile=TRUE measures the time spent in executing the main blocks of the algorithm: InitPopulation(), NextPopulation(), EvalPopulation(), ObservePopulation(), and SummaryPopulation(). The measurements are stored in the named list \$timer of the result object.
- allSolutions=TRUE collects all solutions with the same fitness value. The lists of the genotypes and phenotypes of these solutions are stored in \$solution\$allgenotypes and \$allphenotypes of the result object of the algorithm.
- batch=TRUE writes the result object and logevals=TRUE writes a list of all evaluated genes in an rds-file in the current directory. path allows to write the rds-files into another directory. The existence of the directory specified by path is not checked. batch=TRUE combined with verbose=TRUE should be used in batch environments on HPC environments.

Semantics of the local function list IF

This is experimental. The rationale is to save on communication cost in multi-core processing.

- byValue is the Default.
- byReference converts lF to an evironment.

See Also

Other Main Program: xegaReRun()

Examples

```
a<-xegaRun(penv=Parabola2D, generations=10, popsize=20, verbose=0)
b<-xegaRun(penv=Parabola2D, algorithm="sga", generations=10, max=FALSE,
verbose=1, replay=5, profile=TRUE)
c<-xegaRun(penv=Parabola2D, max=FALSE, algorithm="sgde",
popsize=20, generations=50,
mutation="MutateGeneDE", scalefactor="Uniform", crossover="UCrossGene",
genemap="Identity", replication="DE",
selection="UniformP", mateselection="UniformP", accept="Best")
envXOR<-NewEnvXOR()
BG<-compileBNF(booleanGrammar())
d<-xegaRun(penv=envXOR, grammar=BG, algorithm="sgp",</pre>
```

xegaVersion

```
generations=4, popsize=20, verbose=0)
e<-xegaRun(penv=envXOR, grammar=BG, algorithm="sgp",
generations=4, popsize=20, verbose=0, initgene="InitGeneGe")
f<-xegaRun(penv=envXOR, grammar=BG, algorithm="sge", genemap="Mod",
generations=4, popsize=20, reportEvalErrors=FALSE, verbose=1)
g<-xegaRun(penv=envXOR, grammar=BG, max=TRUE, algorithm="sged",
popsize=20, generations=4, verbose=1, reportEvalErrors=FALSE,
mutation="MutateGeneDE", scalefactor="Uniform", crossover="UCrossGene",
genemap="Identity", replication="DE",
selection="UniformP", mateselection="UniformP", accept="Best")
h<-xegaRun(penv=lau15, max=FALSE, algorithm="sgperm",
genemap="Identity", mutation="MutateGeneMix")
```

xegaVersion About this version.

Description

About this version.

Usage

xegaVersion(verbose = TRUE)

Arguments

verbose Boolean. If TRUE (Default), print package information and version number to the console.

Value

Version number (invisible).

Examples

xegaVersion()

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